



Compliance 360 Solution Overview

MANAGE RISK INTELLIGENTLY WITH COMPLIANCE 360

One of the building blocks of trust rests in how organizations align their governance, risk and compliance management with their business objectives and execution. Compliance 360 helps turn risk into a strategic imperative that generates opportunities.

Regulators, customers, suppliers, staff, and the global community expect your processes, products and services to comply with local and global industry standards and regulations. At the same time, shareholders and business leaders are demanding that you improve efficiency, grow profitability and preserve brand reputation. SAI Global helps organizations like yours manage risk across the entire risk life cycle – protecting business value and building trust.

A UNIFIED PLATFORM DESIGNED TO MEET EXISTING AND FUTURE NEEDS

SAI Global delivers a market-leading unified Governance, Risk and Compliance platform that provides streamlined risk and obligations management for organizations of all sizes and geographies with a high degree of flexibility to meet changing business needs. Our integrated applications are highly configurable to make managing your GRC programs less costly and more efficient to manage.

“Compliance 360 delivers on the vision of GRC with a robust technology and information architecture to enable risk and compliance processes that are agile, efficient, and effective in managing regulatory requirements, enterprise risk, and obligations.”

Michael Rasmussen, Chief GRC Pundit, GRC 20/20, LLC

- Receive a highly configurable set of applications that help identify potential gaps and risks
- Consistent operations and functions across applications
- Eliminate duplicate efforts and maintain the records needed to demonstrate full compliance
- Put a minimal burden on your IT resources with no software installations or expensive hardware upgrades
- Rapidly deployable and flexible with minimal effort needed to expand or add new applications

MONITORING, ANALYSIS AND REPORTING YOUR WAY

Compliance 360 users can tailor their personal home pages to include configurable charts and graphs, alerts and reminders, custom reports, task and assignment lists, and other dashboard-style monitors and controls. Each user receives a live, personally relevant, at-a-glance view of their compliance, risk and audit information – and because Compliance 360 is an online system, they also receive secure access to their home page, dashboards and applications anytime, anyplace.

A FULL SUITE OF COMPLIANCE 360 APPLICATIONS TO CHOOSE FROM

Enterprise Risk Management: Intelligently manage risks, controls, and Key Risk Indicators (KRIs) with our Enterprise Risk Manager application which delivers a 360 degree view of your ERM program. Drive better business performance by uncovering, prioritizing and addressing the full spectrum of risks across your organization, instead of in silos, optimizing the upside of risk and mitigating the downside.

Policy Management: Automate the full life-cycle management process of policies and procedures including customizable workflow for review and approval as well as comprehensive document management including online edit for collaboration, version control, revision history and audit trail. Policy Manager offers Google-like search capabilities for rapid, efficient access to policies by permissible employees and third parties.

Compliance Management: Ensure up-to-date regulatory compliance with proactive monitoring and alerts coupled with compliance risk assessments that identify and remediate gaps.

Incident Management: Use multiple integrated intake methods to gather incident information (like web, open door, hotline and direct entry channels). Store and collaborate on all incidents/cases using automation to streamline investigation management. Track the progress of investigations and the resolution of issues. Compile proof of remediation and preventative measures.

Contract Management: Enable proactive management of all contracts including those with vendors, service providers, customers and business partners. Facilitate collaboration using fully customized workflow processes for review and approval.

Internal Audit: Establish an audit system of record with automated processes tailored for all phases of the audit process including assessment and planning, audit work program, work paper management, findings documentation, reports and follow-up.

Third-Party Risk Management: Proactively identify potential third-party risks, communicate with third parties, verify compliance of business partners and their employees, monitor for changes that could create new risks or gaps, and manage incident investigation and remediation. Apply an ongoing, systematic, and risk-based approach to due diligence for anti-bribery and anti-corruption.

Virtual Evidence Room®: Demonstrate proof of compliance and ensure a continual audit-ready state for your organization with the ability to directly and dynamically link all policies, surveys, assessments, attestations, incidents, audits, contracts, projects and tasks to laws, regulations, standards and guidelines.

Disclosures Management: The two applications, Conflicts of Interest Manager and Gifts and Hospitality Manager, enable you to efficiently collect disclosures information using online forms submitted by employees and business associates. Automated review and approval processes offer visibility and control for monitoring compliance and identifying emerging risks.

COMPLIANCE 360 CAPABILITIES AND BENEFITS

- Maintain a continuous state of readiness for audits, surveys and exams
- Streamline and direct internal audits into areas of highest risk
- Identify and proactively address risk hot-spots across the enterprise
- Establish a system-of-record for compliance, risk and audit management
- React quickly to changes in laws, regulations, enforcement actions and external events
- Decrease labor intensive processes and documentation
- Enforce standards and consistency throughout your organization
- Increase accountability and management visibility
- Support centralized and distributed management structures for optimal efficiency and control

ABOUT SAI GLOBAL

SAI Global helps organizations manage risk, protect reputation and perform better in an increasingly complex and interconnected ethical and regulatory environment. Through our trusted experts

and quality content and technology, we help you manage the entire life-cycle of risk. Our solutions include risk management software, standards and regulatory content, and ethics and compliance learning.

Our services include risk assessments, certification, testing and audits.

Let our experts help your business. Visit www.saiglobal.com/compliance360

