# Australian/New Zealand Standard<sup>™</sup>

Office-based health care facilities— Reprocessing of reusable medical and surgical instruments and equipment, and maintenance of the associated environment





#### AS/NZS 4815:2006

This Joint Australian/New Zealand Standard was prepared by Joint Technical Committee HE-023, Processing of Medical and Surgical Instruments. It was approved on behalf of the Council of Standards Australia on 13 March 2006 and on behalf of the Council of Standards New Zealand on 24 March 2006. This Standard was published on 6 April 2006.

The following are represented on Committee HE-023:

Australian Association of Practice Managers Australian Chamber of Commerce and Industry Australian College of Operating Room Nurses Australian Dental Association Australian Dental Industry Association Australian General Practice Accreditation Australian Health Industry Australian Infection Control Association Australian Nursing Federation Commonwealth Dept of Health and Ageing Dental Assistants Association of Australia Department of Health, South Australia Department of Human Services, Victoria Federation of Sterilizing Research and Advisory Councils of Australia Gastroenterological Nurses College of Australia Medical Industry Association of Australia Ministry of Health, New Zealand New Zealand Nurses Organisation New Zealand Sterile Services Association N.S.W. Health Department Queensland Health Royal Australian College of General Practitioners Rural Doctors Association of Australia

#### Keeping Standards up-to-date

Standards are living documents which reflect progress in science, technology and systems. To maintain their currency, all Standards are periodically reviewed, and new editions are published. Between editions, amendments may be issued. Standards may also be withdrawn. It is important that readers assure themselves they are using a current Standard, which should include any amendments which may have been published since the Standard was purchased.

Detailed information about joint Australian/New Zealand Standards can be found by visiting the Standards Web Shop at www.standards.com.au or Standards New Zealand web site at www.standards.co.nz and looking up the relevant Standard in the on-line catalogue.

Alternatively, both organizations publish an annual printed Catalogue with full details of all current Standards. For more frequent listings or notification of revisions, amendments and withdrawals, Standards Australia and Standards New Zealand offer a number of update options. For information about these services, users should contact their respective national Standards organization.

We also welcome suggestions for improvement in our Standards, and especially encourage readers to notify us immediately of any apparent inaccuracies or ambiguities. Please address your comments to the Chief Executive of either Standards Australia or Standards New Zealand at the address shown on the back cover.

This Standard was issued in draft form for comment as DR 03655.

Australian/New Zealand Standard™

Office-based health care facilities— Reprocessing of reusable medical and surgical instruments and equipment, and maintenance of the associated environment

Originated as AS/NZS 4815:2001 Second edition 2006.

### COPYRIGHT

© Standards Australia/Standards New Zealand

All rights are reserved. No part of this work may be reproduced or copied in any form or by any means, electronic or mechanical, including photocopying, without the written permission of the publisher.

Jointly published by Standards Australia, GPO Box 476, Sydney, NSW 2001 and Standards New Zealand, Private Bag 2439, Wellington 6020

ISBN 0 7337 7369 9

# PREFACE

This Standard was prepared by the Joint Standards Australia/Standards New Zealand Committee HE-023, Processing of Medical and Surgical Instruments and Equipment, to supersede AS/NZS 4815:2001, Office-based health care facilities not involved in complex patient procedures and processes—Cleaning, disinfecting and sterilizing reusable medical and surgical instruments and equipment, and maintenance of the associated environment.

The Standard has been prepared for office-based health care facilities to implement procedures for cleaning, disinfecting and sterilizing reusable medical and surgical instruments and equipment, and the maintenance of associated environments as applicable to their own professions.

Where complex patient procedures and sterilizing processes, such as low temperature sterilization are performed in office-based health care facilities, reference to AS/NZS 4187 Cleaning, disinfecting and sterilizing reusable medical and surgical instruments and equipment, and maintenance of associated environments in health care facilities is required.

Persons having responsibility for the safe provision of sterile health care products should be aware of available sterilization processes, methods of control, and physical characteristics of the product to be sterilized. Unless products are produced under controlled conditions, they will have microorganisms on them and are, by definition, non-sterile. The purpose of sterilization is to destroy these microbiological contaminants.

Certain processes used in the manufacture of health care products are considered to be 'special' (as described in the AS/NZS ISO 9000 series of quality management system standards) in that the result cannot be fully verified by subsequent inspection and testing of the product. Sterilization is an example of a special process because efficacy cannot be verified by inspection or testing of the product. For this reason, sterilization processes must be validated before use, the process routinely monitored and the equipment maintained.

There are many references in this Standard to using the manufacturer's written instructions. However, there are occasions when such instructions may still be inadequate and it is recommended that on-site testing be undertaken. Further clarification of these instructions should be sought from the manufacturer.

Reprocessing of items that may be contaminated with prions, capable of causing diseases such as Transmissible Spongiform Encephalopathies (TSEs), e.g. Creutzfeldt-Jakob Disease (CJD), is still being researched. Current knowledge indicates that these TSEs resist the processes specified in this Standard.

The terms 'normative' and 'informative' have been used in this Standard to define the application of the appendix to which they apply. A 'normative' appendix is an integral part of a Standard, whereas an 'informative' appendix is only for information and guidance.

Mandatory statements in footnotes to Tables are deemed to be requirements of this Standard.

The principal differences between this edition and the 2001 edition are as follows:

- (a) New definitions have been added.
- (b) Requirements regarding the use of sheaths/sleeves/protective barriers for instruments and equipment without these items first being cleaned, disinfected or sterilized, as appropriate, have been added.
- (c) Table 7.1 has been modified to assist in a clearer understanding of the relationship between monitoring and validation.

- (d) Performance tests for small steam sterilizers that use mechanical air removal systems e.g. Type B and some Type S cycles have been clarified.
- (e) Validation requirements (Appendix F) have been upgraded.
- (f) Measurement of temperature and pressure in steam sterilizers, or temperature only in dry heat sterilizers (Appendix G) has been modified.

# CONTENTS

FOREW	ORD	7
SECTIO	1 SCOPE AND GENERAL	
1.1	SCOPE	9
1.1	REFERENCED DOCUMENTS	
1.2	DEFINITIONS	
1.5	REPROCESSING ENVIRONMENT	
1.4	REPROCESSING OF INSTRUMENTS AND EQUIPMENT	
SECTIO	N 2 CLEANING AND HANDLING OF USED ITEMS	
2.1	WATER QUALITY FOR CLEANING	
2.2	INITIAL TREATMENT OF USED ITEMS	
2.3	COLLECTION PROCEDURES	17
2.4	COLLECTION EQUIPMENT	17
2.5	REPROCESSING AREA	
2.6	SORTING OF ITEMS IN THE REPROCESSING AREA PRIOR TO CLEANIN	G.18
2.7	CLEANING PRECAUTIONS	
2.8	CLEANING AGENTS	
2.9	CLEANING METHODS	
	RINSING OF INSTRUMENTS	
	DRYING OF INSTRUMENTS	
2.11	DRTING OF INSTRUMENTS	24
SECTIO	<b>V3</b> PACKAGING AND WRAPPING OF ITEMS PRIOR TO STERILIZATION	N
3.1	GENERAL	25
3.2	PACK SIZE	25
3.3	LABELLING OF PACKS AND BAGS PRIOR TO STERILIZATION	
3.4	SPECIFIC PACKAGING AND WRAPPING REQUIREMENTS	
3.5	METHODS OF WRAPPING	
3.6	SEALING OF PACKS AND BAGS	
5.0	SEALING OF FACKS AND DAGS	21
SECTIO	V 4 STERILIZING EQUIPMENT	
4.1	GENERAL	32
4.2	STEAM STERILIZERS	32
4.3	DRY HEAT STERILIZERS	35
GEOTIO		
	1 5 LOADING OF STERILIZERS	•
5.1	FOR STEAM STERILIZATION	
5.2	FOR DRY HEAT STERILIZATION	
5.3	EFFECT OF LOAD CONTENT AND MANNER OF LOADING	37
SECTIO	16 UNLOADING OF STERILIZERS	
6.1	STEAM STERILIZERS	38
6.2	DRY HEAT STERILIZERS	
6.3	MONITORING OF THE UNLOADING PROCEDURE	
0.5	WONTOKING OF THE UNLOADING FROCEDURE	37
SECTIO	17 PURCHASING, VALIDATION, MONITORING AND MAINTENANCE	ЭF
	STERILIZERS AND ASSOCIATED EQUIPMENT	
7.1	GENERAL	40

# Page

7.2	PURCHASING STERILIZERS AND ASSOCIATED EQUIPMENT	40
7.3	VALIDATION	
7.4	RECOMMISSIONING AND PERFORMANCE REQUALIFICATION OF TH	ΙE
7.5	STERILIZER	
7.5	CERTIFICATION OF VALIDATION	
7.6 7.7	CALIBRATION PERFORMANCE TESTING AND MONITORING OF STERILIZERS	
7.7	MAINTENANCE OF STERILIZERS	
7.8 7.9	ASSOCIATED EQUIPMENT	
7.9	ASSOCIATED EQUIPMENT	
SECTIC	N 8 QUALITY MANAGEMENT	
8.1	STERILIZING MANAGEMENT	54
8.2	DOCUMENTATION	
8.3	PERFORMANCE MANAGEMENT	
8.4	EDUCATION AND TRAINING	54
8.5	MATERIALS MANAGEMENT	55
8.6	VALIDATION AND ROUTINE MONITORING OF STERILIZATION	
	PROCESSES	
8.7	CRITERIA FOR RELEASE OF PROCESSED ITEMS	
8.8	MONITORING OF PACKAGING FOLLOWING STERILIZATION	
8.9	OCCUPATIONAL HEALTH AND SAFETY	
8.10	ENVIRONMENTAL CONTROL	
8.11	EVALUATION, FEEDBACK AND OUTCOMES	
8.12	OFF-SITE REPROCESSING	60
SECTIC	N 9 STORAGE AND HANDLING OF PROCESSED ITEMS	
9.1	GENERAL	61
9.2	STORAGE AREAS FOR STERILE ITEMS	61
9.3	PLASTIC DUST COVERS	62
9.4	TRANSPORTATION OF STERILE ITEMS	62
9.5	COMMERCIALLY PREPARED ITEMS	62
9.6	SHELF-LIFE/ROTATION OF STOCK	62
SECTIC	N 10 DISINFECTION	
	GENERAL	64
	MEANS OF DISINFECTION	
10.2	MEANS OF DISINFECTION	
SECTIC	N 11 CLEANING OF THE STERILIZING AREA AND ASSOCIATED	
	EQUIPMENT	
	GENERAL	
	EQUIPMENT	
11.3	WASTE DISPOSAL	67
SECTIC	N 12 SELECTION AND CARE OF INSTRUMENTS	
	GENERAL	
	GENERAL CONSIDERATIONS	
	SPECIAL CONSIDERATIONS	
	SPECIALIZED INSTRUMENTS	
	USE OF INSTRUMENT SHEATHS/SLEEVES/PROTECTIVE BARRIERS	
SECTIC	N 13 USE OF TEXTILES	73

# Page

APPEN	DICES	
А	LIST OF REFERENCED DOCUMENTS	74
В	CARE AND HANDLING OF POWERED INSTRUMENTS	75
С	GUIDE TO THE SELECTION OF CLEANING AGENTS	77
D	HEAT SEALING EQUIPMENT	78
Е	GUIDE TO SMALL STEAM STERILIZER CYCLE TYPES AND ASSOCIATED	
	MECHANISMS FOR AIR REMOVAL	79
F	VALIDATION PROTOCOL FOR MOIST HEAT STERILIZATION PROCESS	82
G	METHOD FOR MEASUREMENT OF TEMPERATURE AND PRESSURE IN	
	STEAM STERILIZERS, OR TEMPERATURE ONLY IN DRY HEAT	
	STERILIZERS	86

## FOREWORD

This Standard reflects the conscientious efforts of health care professionals representing office-based practice, New Zealand health interests, State health authorities in Australia, professional associations and interested manufacturers in Australia, to develop minimum standards in the processing of items that are required to be clean, disinfected or sterile. It is intended that the principles of this Standard be taken as universally applicable, although it is understood that some requirements contained herein may not be immediately achievable. Therefore, this Standard should be used as a basis by those responsible for sterilizing items in office-based health care facilities to work towards a situation of excellence and adapt it to the special needs of their particular facility.

There are two agents available to office-based health care facilities to free items from viable organisms. They are the following:

- (a) *Moist heat*—steam under pressure.
- (b) *Dry heat*—hot air sterilization.

Office-based health care facilities that may use other methods of sterilization should refer to AS/NZS 4187, *Cleaning, disinfecting and sterilizing reusable medical and surgical instruments and equipment, and maintenance of associated environments in health care facilities.* 

# Currently, moist heat, in the form of steam under pressure, is the most dependable, economical and quickest medium known for the destruction of microbial life.

In the 1930s, with the advent of thermometers being added to steam sterilizer drain lines, sterilization ceased to be the unscientific guesswork it had been previously. 'Pressure' was the only indication of control with no means for measuring the temperature developed by the steam or the degree of air elimination.

Since then, a clear understanding of the scientific principles of sterilization has emerged with the result that supplies in health care facilities can now be sterilized with greater economy, increased safety, and a higher degree of precision than ever before. The process, by which microorganisms are destroyed when subjected to this form of heat, is closely linked to the alteration by coagulation of the protein matter in the microbial cell.

Dry heat sterilization, using hot dry air, has been used since the latter part of the nineteenth century. Sterilizers of today are made with specially designed perforated convection chambers with heating elements and fans. The process by which microorganisms are destroyed, when subjected to this form of heat, is by oxidation.

Filters are not sterilizing agents; however, they are used to remove microorganisms and particles from liquids and gases, thus rendering them sterile. Filters are also used on air intake lines following the sterilizing process to return chambers back to atmospheric pressure.

The production of items required to be sterile for use depends not only on the correct medium being selected for the item to be processed and the validation of the sterilization process itself, but also on cleaning and disinfection processes, facility design/workflow, prevention of contamination, and effective quality control, prior to, during and after the sterilizing process.

Management should ensure that personnel involved in the cleaning, disinfecting, sterilization, storage and distribution of items are trained and educated to enable them to correctly undertake any task that they will be required to perform. For those who are charged with the responsibility of quality control and supervision, it is essential to be familiar with risk management, safe work practices, malpractice and duty of care.

# STANDARDS AUSTRALIA/STANDARDS NEW ZEALAND

# Australian/New Zealand Standard

# Office-based health care facilities—Reprocessing of reusable medical and surgical instruments and equipment, and maintenance of the associated environment

SECTION 1 SCOPE AND GENERAL

## 1.1 SCOPE

This Standard sets out procedures and process development which can be validated for the cleaning, disinfection and sterilization of reusable medical and surgical instruments and equipment, and maintenance of associated environments in office-based health care facilities not involved in complex patient procedures and processes.

The Standard is suitable for medical, dental and allied health facilities and skin penetration establishments. The Standard may also be suitable for application to the instruments and equipment used exclusively on animals in veterinary practice.

The Standard does not apply to the reprocessing of items intended by the manufacturer for single use only.

Goods such as dressings and bandages should be obtained sterile from commercial sources, ready for use. This Standard also encompasses the environmental conditions for sterility maintenance of both in-house produced and commercially supplied sterile items.

Reference to AS/NZS 4187 is required for complex cleaning, disinfecting and sterilizing processes, such as those involving anaesthetic equipment processing, endoscopy equipment processing and processes involving low temperature sterilizing systems.

This Standard does not apply to day surgical or day procedures centres, and reference should be made to AS/NZS 4187.

The Standard also does not apply to items that may be contaminated with prions capable of causing Transmissible Spongiform Encephalopathies (TSEs), e.g. Creutzfeldt-Jakob Disease (CJD). Reference should be made to the 'Guidelines for the Prevention of Transmission of Infectious Diseases in the Health Care Setting' for advice on reprocessing of these items.

NOTE: These 'Guidelines for the Prevention of Transmission of Infectious Diseases in the Health Care Setting' are available at www.icg.health.gov.au.

# **1.2 REFERENCED DOCUMENTS**

A list of the documents referred to in this Standard is given in Appendix A.

# **1.3 DEFINITIONS**

For the purpose of this Standard, the definitions below apply.

## 1.3.1 Autoclave

Colloquial term for a steam-under-pressure sterilizer.

### **1.3.2** Batch principle

Made in one designated cycle of manufacture.



# The remainder of this document is available for purchase online at www.saiqlobal.com/shop

SAI Global also carries a wide range of publications from a wide of Standards Publishers

















Click on the logos to search the database online.